



Atty Docket: 4652/1B

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Agarwal et al.
Serial No. : 10/026,359 Examiner:
Filed : Dec., 24, 2001 Group Art Unit: 2164
Title : Method And System For Computer-Implemented Trading Of
Secondary Market Debt Securities

Commissioner for Patents
Washington, D.C. 20231

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IDS TRANSMITTAL LETTER

Sir:

Applicants respectfully submit the enclosed information disclosure statement including (1) a citation list, and (2) a copy of each reference cited. The Examiner is requested to consider the enclosed documents, make them of record, and indicate his or her consideration of the documents by initialing each of the references on the enclosed citation list. Please return a copy of the initialed list to the applicants' undersigned Attorney.

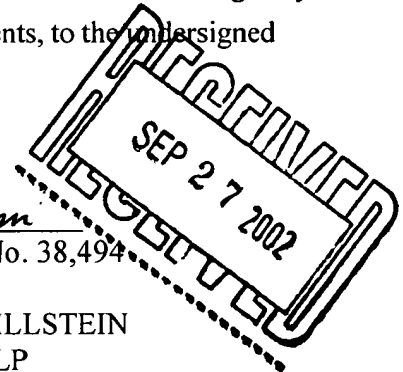
This IDS is being filed prior to the mailing date of a first office action.

Although no fee is believed to be due, the Commissioner is hereby authorized to charge any deficiency in fees or fees that may be required, or to credit any overpayments, to the undersigned attorney's Deposit Account No. 02-4270.

Respectfully submitted,

Dated: Sept. 17, 2002

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I hereby certify that this paper is being deposited this date with the
U.S. Postal Service as First Class Mail addressed to:
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Ralph F. Hoppin
Ralph F. Hoppin

Sept. 17, 2002
Date



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| INFORMATION DISCLOSURE CITATION LIST | Atty. Docket No.: 4652/1B | Application Serial No.: 10/026,359 |
| | Applicants: Agarwal et al. | |
| | Filing Date: Dec. 24, 2001 | Group Art Unit: 2164 |
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U.S. PATENT DOCUMENTS

| Exam. Init | | Document Number | Date | Name | Class | Subclass |
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| | | 6,161,099 | Dec. 12, 2000 | Harrington et al. | | |
| | | 6,035,287 | Mar. 7, 2000 | Stallaert et al. | | |
| | | 5,915,209 | Jun. 22, 1999 | Lawrence | | |
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FOREIGN PATENT DOCUMENTS

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OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

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